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AOC/PAT  
01/05/01

PATENT APPLICATION  
DOCKET NO.: 2727.1001-000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eileen Louise Rice McFarland

Application No.: 09/724,135

Group Art Unit: N/A

OFFICE

Filed: November 28, 2000

Examiner: N/A

Title: METHOD FOR DIAGNOSING A PREDISPOSITION OF PSYCHOSIS IN A PROGENY

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on <u>January 8, 2001</u> Date	<u>Katie A. Yeaton</u> Signature
<u>Katie A. Yeaton</u> Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(c), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.  
(Filed after payment of issue fee)

09/724,135

-2-

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
- ☒ Copies of the cited references, AR-AS2, are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.

- ☐ Applicant requests that the following pending applications be considered:

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ]  
Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ]  
Docket No.: [ ]

\_\_\_\_ U.S. Patent Application No. [ ], by [inventor(s)], filed [ ]  
Docket No.: [ ]

\_\_\_\_\_  
Examiner\_\_\_\_\_  
Date

09/724,135

-3-

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the application, including the current claims.]

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[ ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Pamela A. Torpey  
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Dated:

January 8, 2001

ODMA\MI\ODMA\Manage;20S442;1  
AOC/PAT/kay  
March 15, 2001

PATENT APPLICATION  
DOCKET NO.: 2727.1001-000

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eileen Louise Rice McFarland

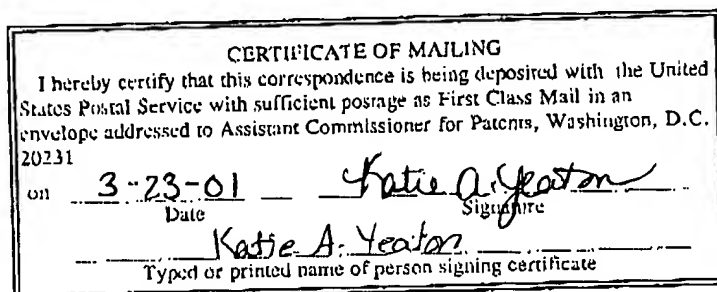
Application No.: 09/724,135

Group Art Unit: N/A

Filed: November 28, 2000

Examiner: N/A

Title: METHOD FOR DIAGNOSING A PREDISPOSITION OF PSYCHOSIS IN A PROGENY



## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Initials

U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

U.S. Patent Application No. [ ], Publication No. [ ], Publication Date [ ],  
by [inventor(s)], filed [ ], Docket No.: [ ]

\_\_\_\_\_  
Examiner\_\_\_\_\_  
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Respectfully submitted,

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**FACSIMILE COVER SHEET****OFFICIAL**

**Examiner:** Terry Ivory McKay

**Group:** 1645

**Date:** April 12, 2001

**Client Code:** 2727

**Facsimile No.:** 703-308-4242

**FAX RECEIVED****APR 12 2001****GROUP 1645**

**From:** Pamela A. Torpey

*PAT (KAY)*

**Subject:** Paper: Copy of IDS and Supplemental IDS

**Docket No.:** 2727.1001-000

**Applicants:** Eileen Louise Rice McFarland

**Serial No.:** 09/724,135

**Filing Date:** November 28, 2000

Number of pages including this cover sheet: 10

Please confirm receipt of facsimile: Yes X No     

**Comments:**

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